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Name of Journal: World Journal of Gastrointestinal Oncology Manuscript NO: 89002 Manuscript Type: ORIGINAL ARTICLE Clinical and Translational Research Tumour response following preoperative chemotherapy is affected by body mass index in patients with colorectal liver metastases BMI affects chemotherapy response in CRLM.

#### Abstract

#### BACKGROUND

Colorectal cancer is the third most prevalent malignancy globally and ranks second in cancer-related mortality, with the liver being the primary organ of metastasis. Preoperative chemotherapy is widely recommended for initially or potentially resectable colorectal liver metastases (CRLMs). Tumour pathological response serves as the most important and intuitive indicator for assessing the efficacy of chemotherapy. However, the postoperative pathological results reveal that a considerable number of patients exhibit a poor response to preoperative chemotherapy. Body mass index (BMI) is one of the factors affecting the tumorigenesis and progression of colorectal cancer as well as prognosis after various antitumour therapies. Several studies have indicated that overweight and obese patients with metastatic colorectal cancer experience worse prognoses than those with normal weight, particularly when receiving first-line chemotherapy regimens in combination with bevacizumab.

#### AIM

Our study was designed to explore the predictive value of BMI regarding the pathologic response following preoperative chemotherapy for CRLMs.

#### **METHODS**

A retrospective analysis was performed in 126 consecutive patients with CRLM who underwent hepatectomy following preoperative chemotherapy at four different hospitals from October 2019 to July 2023. Univariate and multivariate logistic regression models were applied to analyse potential predictors of tumour pathological response. The Kaplan–Meier method with log rank test was used to compare RFS between patients with high and low BMI. BMI <24.0 kg/m² was defined as low BMI, and tumour regression grade (TRG) 1-2 was defined as complete tumour response.

### **RESULTS**

Low BMI was observed in 74 (58.7%) patients and complete tumour response was found in 27 (21.4%) patients. The rate of complete tumour response was significantly higher in patients with low BMI (29.7% vs. 9.6%, P=0.007). Multivariate analysis revealed that low BMI (OR 4.56, 95%CI 1.42-14.63, P=0.011), targeted therapy with bevacizumab (OR 3.02, 95%CI 1.10-8.33, P=0.033), preoperative CEA level <10ng/mL (OR 3.84, 95%CI 1.19-12.44, P=0.025) and severe sinusoidal dilatation (OR 0.17, 95%CI 0.03-0.90, P=0.037) were independent predictive factors for complete tumour response. The low BMI group exhibited a significantly longer median PFS than the high BMI group (10.7 months vs.4.7 months, P=0.11).

#### CONCLUSION

In CRLM patients receiving preoperative chemotherapy, a low BMI may be associated with better tumour response and longer PFS.

**Key Words:** Colorectal liver metastases; Body mass index; Tumour regression grade; Preoperative chemotherapy; Hepatectomy

Song HC, Zhou HC, Gu P, Bao B, Sun Q, Mei TM, Cui W, Yao K, Yao HZ, Zhang SY, Wang YS, Song RP, Wang JZ. Tumour response following preoperative chemotherapy is affected by body mass index in patients with colorectal liver metastases. *World J Gastrointest Oncol* 2023; In press

Core Tip: Increasing evidence suggests that body mass index (BMI) is a significantly underestimated predictor in the diagnosis and treatment of colorectal cancer. However, few literatures have evaluated the relationship between BMI and tumour response to chemotherapy in colorectal liver metastases. In this study, we found that a low BMI was associated with an improved tumour response to preoperative chemotherapy. This finding may provide a novel perspective for the individualized treatment of colorectal liver metastases.

#### INTRODUCTION

Colorectal cancer is the third most prevalent malignancy globally and ranks second in cancer-related mortality, with the liver being the primary organ of metastasis. [1] Colorectal cancer liver metastasis (CRLM) is the primary cause of mortality in patients with colorectal cancer. At present, radical surgery is the most efficacious therapeutic approach for curing cancer liver metastases (CRLMs), with a median overall survival of 5.2 years following hepatectomy. [2] Preoperative chemotherapy, including chemotherapy regimens based on oxaliplatin and irinotecan with or without targeted therapy, is widely recommended for initially or potentially resectable CRLM patients due to its potential to reduce tumour load, increase resection rate, and consolidate surgical results. [3]

Tumour pathological response serves as the most important and intuitive indicator for assessing the efficacy of chemotherapy, while also playing a crucial role as a longterm prognostic factor in patients with CRLM receiving chemotherapy. [4-5] However, the postoperative pathological results reveal that a considerable number of patients exhibit a poor response to preoperative chemotherapy. [6-8] For these patients, the potential benefits of receiving perioperative chemotherapy may outweigh the disadvantages. Therefore, it may be more prudent to proactively identify these patients prior to chemotherapy to implement timely and appropriate intervention measures or consider direct surgical treatment, thereby avoiding tumour progression and chemotherapy-related adverse events from unnecessary preoperative chemotherapy. Obesity, an important risk factor threatening human health, is strongly associated with the occurrence and progression of numerous diseases. [9] Epidemiological evidence suggests that overweight and obesity are associated with an increased risk of colorectal carcinogenesis and poorer tumour outcomes. Several studies have indicated that overweight and obese patients with metastatic colorectal cancer experience worse prognoses than those with normal weight, particularly when receiving first-line chemotherapy regimens in combination with bevacizumab. [10-12] Furthermore, research

has demonstrated that obesity and an increase in adipocytes are associated with therapeutic resistance in patients with colorectal cancer. In this study, we mainly investigated the correlation between body mass index and pathological responses in CRLM patients receiving preoperative chemotherapy.

# MATERIALS AND METHODS

#### Patient and treatment

We retrospectively collected and analysed the clinical and pathological data of 126 patients with CRLM who underwent radical hepatectomy after receiving preoperative chemotherapy at the First Affiliated Hospital of University of Science and Technology of China, Suzhou Hospital Affiliated to Anhui Medical University, Tongcheng People's Hospital and Xuancheng People's Hospital from October 2019 to July 2023. The inclusion criteria were as follows: (1) patients received first-line chemotherapy preoperatively, including neoadjuvant and conversion therapies; (2) the postoperative pathology findings are consistent with CRLM. The exclusion criteria were as follows: (1) patients who had received radiotherapy, transarterial chemoembolization (TACE), or other local treatments for CRLM before hepatectomy; (2) combined with other malignant tumours. The data collection plan received approval from the Institutional Ethics Review Committee of the hospital.

The personalized treatment decisions of patients were deliberated by a multidisciplinary team (MDT) consisting of experts in hepatobiliary surgery, oncology, gastroenterology, imaging, pathology and ultrasound. All patients underwent preoperative chemotherapy with oxaliplatin and/or irinotecan, and this was followed by individualized decision-making regarding the addition of targeted therapy based on their specific tumour characteristics. The targeted therapies included cetuximab and bevacizumab. Subsequently, radical hepatectomy was performed for all patients after preoperative chemotherapy.

#### Definition and data collection

Considering variations in adipose tissue distribution across diverse ethnic populations, a BMI <24.0 kg/m² was defined as low BMI within this study. [13] A weight change exceeding 5% was recorded as either weight gain or weight loss during the period between the initiation of neoadjuvant chemotherapy and the time of surgery. Both weight and height data were measured on the day of patient admission before surgery. Postoperative complication grading was based on the Clavien–Dindo classification system. [14] The occurrence of complications at Clavien–Dindo grade II and higher was recorded. More definitions of variables are summarized in

# Supplementary Table 1.

# Pathological assessment

The metastatic specimens obtained after liver resection were evaluated by professional pathologists. The assessment of pathological responses to chemotherapy was based on the tumour regression grade (TRG) system proposed by Mandard *et al.* [15] (**Figure 1**). Grade 1 indicates the absence of residual cancer and extensive fibrosis. Grade 2 signifies rare scattered residual cancer cells within fibrosis. Grade 3 demonstrates a higher presence of residual tumour cells, although fibrosis remains predominant. Grade 4 is characterized by a predominance of residual tumour cells. Grade 5 denotes the absence of signs indicating regression. TRG grade 1-2 was defined as a complete tumour response, while TRG grade 3-5 was defined as a poor tumour response. [4-5]

Considering that steatosis and sinusoidal dilatation are the two most common liver injuries associated with oxaliplatin, irinotecan, and 5-fluorouracil chemotherapy for CRLM, we conducted a comprehensive assessment of the extent of these chemotherapy-associated liver injuries in the liver area surrounding metastases. The percentage of steatosis in involved hepatocytes was assessed and graded into the following categories: absent (0%), grade 1 (1–30%), grade 2 (31–60%) and grade 3 (>60%). [4] Sinusoidal dilatation was scored according to the pathological grade system published by Rubbia-Brandt *et al.* [16]: absent, grade 1, grade 2 and grade 3. Grade 2–3 steatosis and sinusoidal dilatation were defined as severe liver injuries. [5]

# Follow-up

Lung, abdominal and pelvic contrast-enhanced CT scans (liver contrast-enhanced MRI examinations if deemed necessary) were performed at 3-month intervals during the initial 2-year period postsurgery, followed by biannual assessments thereafter.

# Statistical analysis

The data were analysed using the statistical software packages R version 4.2.0 (The R Foundation; http://www.R-project.org) and EmpowerStats (www.empowerstats.com; X&Y Solutions, Boston, MA, USA). T tests and the Kruskal–Wallis rank sum test were employed to test normally and nonnormally distributed continuous variables, respectively. Chi-square tests were used to compare categorical variables. The relevant factors associated with tumour histopathological response were examined using univariate and multivariate logistic regression models. Predictors with a significance level of *P*<0.10 in the univariate analysis were included in the multivariate models. The Kaplan–Meier method with log rank test was used to compare RFS between patients with high and low BMI. Statistical significance was considered at *P*<0.05.

### RESULTS

#### Patient characteristics

A total of 126 patients were enrolled in this study, including 85 males and 41 females. The median age at the time of hepatectomy was 58 years (interquartile range, IQR 51.0–67.0). The median BMI was 23.3 (IQR 20.8–25.9) kg/m², with low BMI observed in 74 (58.7%) patients. Hypertension was present in 50 (39.7%) patients, and diabetes was diagnosed in 20 (15.9%) patients. Among the patients in the cohort, the rectum was the primary tumour site in 64 (50.8%) patients. Synchronous liver metastases were identified in 83 (65.9%) patients, with multiple liver metastases observed in 61 (48.4%) patients. The median diameter of the largest metastatic lesion was 2.7 cm (IQR 1.7–4.2). A preoperative CEA level ≥10 ng/mL was observed in 52 (41.3%) patients.

Ninety-five (75.4%) patients received an oxaliplatin-based preoperative chemotherapy regimen. Targeted therapy was administered to 77 (61.1%) patients, including bevacizumab in 35.7% of 45 patients and cetuximab in 32 (25.4%) patients. The median number of chemotherapy cycles was 5 (IQR 3.0–7.0), with more than 6 cycles being administered in 32 (25.4%) patients preoperatively. Laparoscopic procedures were performed in 101 (80.2%) patients, while simultaneous surgery was conducted in 35 (27.8%) patients. The Pringle maneuver was utilized in the surgical approach for a total of 89 (70.6%) patients. Complications of grade II or higher were observed in 29 (23%) patients. The median duration of postoperative hospital stay was recorded as approximately 8 (IQR 6.0–10.8) days.

Different degrees of steatosis and sinusoidal dilatation were observed in 73 (57.9%) and 103 (82.4%) patients, respectively. A complete tumour response was found in 27 (21.4%) patients (Table 1).

# Relationship between BMI and tumour histological response

The baseline clinicopathologic and treatment characteristics based on BMI grouping are summarized in **Table 1**. The characteristics of the two groups were basically similar, with the high BMI group demonstrating a higher incidence of hypertension (51.9% vs. 31.1%, P=0.019) and severe steatosis (21.2% vs. 8.1%, P=0.035) than the low BMI group. The rate of complete tumour response was significantly higher in patients with low BMI (29.7% vs. 9.6%, P = 0.007). Univariate analysis identified that low BMI (OR 3.98, 95%CI 1.39-11.34, P=0.010), targeted therapy with bevacizumab (OR 2.88, 95%CI 1.20-6.87, P=0.018), preoperative CEA level <10 ng/mL (OR 3.98, 95%CI 1.39-11.34, P=0.010) and severe sinusoidal dilatation (OR 0.20, 95%CI 0.05-0.91, P=0.038) were significant factors associated with complete tumour response (**Table 2**). Multivariate analysis revealed that low BMI (OR 4.56, 95%CI 1.42-14.63, P=0.011), targeted therapy with bevacizumab (OR 3.02, 95%CI 1.10-8.33, P=0.033), preoperative CEA level <10 ng/mL (OR 3.84, 95%CI 1.19-12.44, P=0.025) and severe sinusoidal dilatation (OR 0.17, 95%CI 0.03-0.90, P=0.037) were independent predictive factors for complete tumour response (**Figure 2**).

The effect of BMI on tumour histological response was consistent across subgroups (Supplementary Table 3).

# The relationship between BMI and chemotherapy-related liver injuries and postoperative details

The incidence of severe steatosis in patients with high BMI (21.2% vs. 8.1% P=0.035) was significantly higher than that in patients with low BMI, with no statistically significant difference in sinusoidal dilatation. No statistically significant difference was observed in the incidence of postoperative complications or the length of hospital stay postoperatively (Table 1).

# Impact of BMI and histological responses on PFS

The median follow-up for PFS was 5.7 (IQR 2.4-12.8) months. At the time of analysis, disease progression was observed in 87 (69.0%) patients. The low BMI group exhibited a significantly longer median PFS than the high BMI group, with median PFSs of 10.7 (95%CI 6.3-17.9) months and 4.7 (95%CI 3.0-8.4) months, respectively (P = 0.011) (Figure 3a). The median PFS was preferable in patients with complete tumour response compared with poor response, although this difference did not reach statistical significance (10.7 months vs. 6.0 months P = 0.057) (Figure 3b).

#### DISCUSSION

Obesity (or high BMI) is associated with an increased risk of colorectal carcinogenesis and unfavourable prognosis. <sup>[9]</sup> However, the impact of BMI on the pathological response of CRLMs to preoperative chemotherapy has been reported in a limited number of studies. In this study, we observed that overweight and obese patients with CRLM exhibited a poorer response to chemotherapy and appeared to have shorter PFS than patients with low BMI. The World Health Organization (WHO) standard defines adult overweight as having a BMI  $\geq$ 25.0 kg/m², while obesity is defined as having a BMI  $\geq$ 30.0 kg/m². Nevertheless, considering variations in average BMI among different ethnic groups, the Chinese standards employed a threshold of

BMI  $\geq$ 24.0 kg/m<sup>2</sup> to define overweight and  $\geq$ 28.0 kg/m<sup>2</sup> for obesity. <sup>[13]</sup> Therefore, a BMI  $\leq$ 24.0 kg/m<sup>2</sup> was defined as low BMI within the study.

Similar findings have been reported in some studies of primary and metastatic colorectal cancer. Previous studies have shown that obesity is associated with a lower rate of pathological complete response and a higher risk for local recurrence after neoadjuvant chemoradiation therapy in patients with locally advanced rectal cancer. [17-<sup>18]</sup> A retrospective study showed that high BMI, visceral fat area and subcutaneous fat area were significantly associated with nonresponse (stable disease or progressive disease) in metastatic colorectal cancer patients treated with a bevacizumab-based chemotherapeutic regimen. Patients with high BMI had a significantly shorter mean time to progression than patients with normal  $\overline{B}MI$  (9 vs. 12 months, P = 0.01). In the multivariate analysis, a high visceral fat area independently predicted nonresponse (HR 7.18, 95%CI 1.69–30.6), a shorter time to progression (HR 2.80, 95%CI 1.35–5.79) and shorter survival (HR 2.88, 95%CI 1.13-7.32). [12] An analysis of 563 patients with metastatic colorectal cancer who had received first-line chemotherapy in combination with bevacizumab showed that the nonobese group exhibited a longer median PFS (10.8) vs. 9 months, P = 0.030) and a higher 2-year survival rate (34 vs. 23%, P = 0.036) than the obese group. [11] A similar result of low BMI being associated with longer median PFS (24 vs. 17.9 months, P = 0.04) in CRLM patients was also found in the work by Hopirtean *et al.* [19]

At the initiation of chemotherapy, the dosage of first-line chemotherapy agents commonly used for CRLM, such as oxaliplatin, irinotecan, 5-fluorouracil and capecitabine, is determined based on body surface area, while bevacizumab is dosed according to weight. However, in our study, there was no statistically significant difference in the chemotherapy cycle delays or dose reductions (11.5% in the low BMI group and 7% in the high BMI group) due to factors between the two patient cohorts (Table 1). Therefore, we propose that these findings are not attributable to relatively inadequate medication dosages or substantially lower systemic drug concentrations among patients with higher BMI compared to those with lower BMI. The inferior

pathological response and prognosis observed in patients with high BMI may arise from more aggressive tumour biology or increased susceptibility to chemotherapy resistance of CRLMs in obese patients involving insulin resistance and adipose tissuederived factors. [12]

Previous studies have demonstrated that adipose tissue secretes various growth factors and cytokines, including leptin, adiponectin, VEGF, insulin-like growth factor-1 (IGF-1), and angiopoietins (Angs). [20] The systemic or local internal environment is then altered to facilitate tumour growth, metastasis and angiogenesis as well as confer resistance to chemotherapy and targeted therapies. [20-22] Angiogenic factors such as leptin, VEGF, IGF-1 and angiopoietins exert a direct effect on promoting cancer angiogenesis, which may be the main reason for the resistance of obese patients to anti-VEGF therapy such as bevacizumab and tumour progression. [12,20] Chen et al. [23] discovered that obesity-associated leptin promotes chemoresistance towards the chemotherapy drug 5-fluorouracil in colorectal cancer. Elevated IGF-1 Levels elicit various biological responses, including enhanced tumour proliferation and aggressiveness, resistance to apoptosis, promotion of an inflammatory tumour microenvironment, and obesity-related resistance to chemotherapy. [22] Dallas et al. [24] found that the levels of activated IGF-1 receptors were elevated in chemoresistant (5fluorouracil and oxaliplatin) colorectal cancer cell lines compared with parent cell lines. Additionally, a cross-resistance phenomenon was observed between 5-fluorouracil and oxaliplatin. [24] Moreover, heightened blood insulin levels in obese patients induce resistance to oxaliplatin colon cancer cells by activating the PI3K/Akt pathway. Simultaneously, there is an augmented proliferative response of chemoresistant cancer cells to insulin that is accompanied by decreased insulin metabolism. [25]

The poor outcomes associated with obesity may be attributed to insufficient engagement in appropriate physical activities and an unhealthy dietary regimen among patients with CRLM. Increased levels of physical activity and a lower body mass index have been found to correlate with extended disease-free survival in individuals diagnosed with colorectal cancer, apparently enhancing their long-term survival

outcomes. [26-27] In metastatic colorectal cancer, high levels of physical activity were associated with longer PFS and lower treatment-related adverse events. [28] Physical activity may ameliorate insulin resistance and mitigate the effects of obesity-related growth factors, including insulin, insulin-like growth factor-1 (IGF-1), and adiponectin, thereby enhancing tumour outcomes. [29-36] Western dietary patterns, high glycaemic load, and total carbohydrate intake exhibit a positive correlation with an elevated risk of colorectal cancer recurrence and mortality. [37-39] Therefore, physical activity and a controlled diet are recommended for patients diagnosed with colorectal cancer to improve prognosis. [40] Furthermore, research findings have demonstrated that bariatric surgery in obese adults can significantly reduce both obesity-related cancers and cancer-related mortality. [41] The incidence of colorectal cancer in obese individuals exhibits a significant reduction after bariatric surgery. [42-44] Similar results have been found in other tumours, and diverse modalities of weight loss can enhance outcomes in breast cancer patients. [45-47] Additionally, bariatric surgery has the potential to improve the response to immune checkpoint blockade in breast cancer patients. [48]

First-line chemotherapy combined with the anti-VEGF agent bevacizumab in the treatment of CRLM can improve the resection rates, objective response rates and overall survival rates. <sup>[5,49-50]</sup> Consistent with these findings, our study revealed a superior pathological response when preoperative chemotherapy was combined with bevacizumab for CRLMs. Given the comparable pathological response between patients treated with cetuximab and those without targeted therapy (complete response rates of 15.6% and 14.3%, respectively), both groups exhibited inferior outcomes compared to the bevacizumab-treated group (complete response rate of 33.3%). Consequently, we categorized patients receiving cetuximab or no targeted therapy as the nonbevacizumab group. Although previous studies have shown that the effectiveness of first-line bevacizumab-based treatment for CRLMs is more likely to be affected by BMI in metastatic colorectal cancer, <sup>[10-12]</sup> our findings indicated that, whether receiving bevacizumab or not, patients with high BMI exhibited poorer pathological responses.

The present study found that a preoperative CEA level < 10 ng/mL was associated with favourable tumour response rates as mentioned in a previous study. <sup>[50]</sup> However, further research is needed to explore the underlying mechanism responsible for this association. Consistent with previous studies, this study revealed that severe sinusoidal dilatation (grade 2-3) was also significantly associated with poor pathological response rates. <sup>[4-5]</sup> This involves various alterations, including the loss of fenestrae in sinusoidal endothelial cells, weakened hepatic microcirculation, limited transportation of drugs, and hypoxia associated with sinusoidal dilatation. Collectively, these factors contribute to inferior tumour response rates.

There were several limitations in this study. First, genetic factors such as RAS and MMR/MSI status that have been shown to be important prognostic factors in colorectal cancer were not included in the analysis because the recorded data were partially missing. Second, the study needed a review of tumour pathological sections after hepatectomy to assess the tumour regression grade of liver metastases, resulting in a study population that included only resectable CRLM patients, inevitably resulting in selection bias. Third, due to the small sample size, we did not conduct a subgroup analysis of underweight patients. Nevertheless, we believe that this study provides useful information for clinical practice.

This study provides evidence that tumour response following preoperative chemotherapy is affected by body mass index in patients with CRLM, and this finding may serve as a reference for developing personalized treatment strategies. For example, neoadjuvant chemotherapy is not recommended for initially resectable CRLM patients with high BMI. Next, it is worth further exploring whether deliberately increasing physical activity and weight loss can improve the response to chemotherapy in obese CRLM patients.

### **CONCLUSION**

From this study of CRLM patients receiving preoperative chemotherapy, low BMI appears to be associated with better tumour response and longer PFS. The

multidisciplinary team should consider this factor when designing personalized treatment strategies for the optimal management of CRLM patients.

# **ARTICLE HIGHLIGHTS**

#### Research background

Radical surgery is the most efficacious therapeutic approach for curing cancer liver metastases (CRLMs). Preoperative chemotherapy is widely recommended for patients with CRLM. However, a considerable number of patients exhibit poor response to preoperative chemotherapy.

#### Research motivation

Epidemiological evidence suggests that body mass index (BMI) is associated with colorectal carcinogenesis and outcomes. Exploring the relationship between BMI and pathological response to preoperative chemotherapy may help us better manage CRLM patients.

# Research objectives

This study was designed to explore the predictive value of BMI regarding the pathologic response following preoperative chemotherapy for CRLMs.

#### Research methods

A retrospective analysis was conducted on the clinical and pathological data of 126 CRLM patients who underwent hepatectomy after preoperative chemotherapy. We analysed the potential predictive factors affecting tumour regression grade (TRG). TRG 1-2 was defined as a complete pathological response.

#### Research results

The rate of complete tumour response was significantly higher in patients with low BMI. Multivariate analysis revealed that low BMI independently predicted complete tumour response to preoperative chemotherapy. The low BMI group exhibited a longer median PFS than the high BMI group.

# Research conclusions

In CRLM patients receiving preoperative chemotherapy, a low BMI may be associated with better tumour response and longer PFS.

# Research perspectives

Whether deliberately increasing physical activity and weight loss may improve the response to chemotherapy in obese CRLM patients is an interesting topic worthy of further exploration.

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