

Human Research Protection Office**IRB ID #:** 201811062**To:** William Hawkins**From:** The Washington University in St. Louis Institutional Review Board,**Re:** Clinical Manifestations of Ectopic Pancreatic Rest**Approval Date:** 10/31/19**Next IRB Approval****Due Before:** N/A**2018 Common Rule/Equivalent Protections Yes****Type of Application:**

- ☐ New Project
- ☒ Continuing Review
- ☒ Modification

Type of Application Review:

- ☐ Full Board:
- Meeting Date:
- ☒ Expedited
- ☐ Exempt
- ☐ Facilitated

Approved for Populations:

- ☐ Children
 - ☐ Signature from one parent
 - ☐ Signature from two parents
- ☐ Prisoners
- ☐ Pregnant Women, Fetuses, Neonates
- ☐ Wards of State
- ☐ Decisionally Impaired

Criteria for approval are met per 45 CFR 46.111 and/or 21 CFR 56.111 as applicable.

Project determined to be minimal risk per 45 CFR 46.102(i) and/or 21 CFR 56.102(i) as applicable.

MATERIALS APPROVED

This approval has been electronically signed by IRB Chair or Chair Designee:
Erin Higgs, MA
10/31/19 1343

IRB Approval: IRB approval indicates that this project meets the regulatory requirements for the protection of human subjects. IRB approval does not absolve the principal investigator from complying with other institutional, collegiate, or departmental policies or procedures.

Recruitment/Consent: Your IRB application has been approved for recruitment of subjects not to exceed the number indicated on your application form. If you are using written informed consent, the IRB-approved and stamped Informed Consent Document(s) are available in *myIRB*. The original signed Informed Consent Document should be placed in your research files. A copy of the Informed Consent Document should be given to the subject. (A copy of the *signed* Informed Consent Document should be given to the subject if your Consent contains a HIPAA authorization section.)

Continuing Review: Federal regulations require that the IRB re-approve research projects at intervals appropriate to the degree of risk, but no less than once per year. This process is called “continuing review.” Continuing review for non-exempt research is required to occur as long as the research remains active for long-term follow-up of research subjects, even when the research is permanently closed to enrollment of new subjects and all subjects have completed all research-related interventions and to occur when the remaining research activities are limited to collection of private identifiable information. Your project “expires” at midnight on the date indicated on the preceding page (“Next IRB Approval Due on or Before”). You must obtain your next IRB approval of this project by that expiration date. You are responsible for submitting a Continuing Review application in sufficient time for approval before the expiration date, however you will receive reminder notice prior to the expiration date.

Modifications: Any change in this research project or materials must be submitted on a Modification application to the IRB for prior review and approval, except when a change is necessary to eliminate apparent immediate hazards to subjects. The investigator is required to promptly notify the IRB of any changes made without IRB approval to eliminate apparent immediate hazards to subjects using the Modification/Update Form. Modifications requiring the prior review and approval of the IRB include but are not limited to: changing the protocol or study procedures, changing investigators or funding sources, changing the Informed Consent Document, increasing the anticipated total number of subjects from what was originally approved, or adding any new materials (e.g., letters to subjects, ads, questionnaires).

Unanticipated Problems Involving Risks: You must promptly report to the IRB any unexpected adverse experience, as defined in the IRB/HRPO policies and procedures, and any other unanticipated problems involving risks to subjects or others. The Reportable Events Form (REF) should be used for reporting to the IRB.

Audits/Record-Keeping: Your research records may be audited at any time during or after the implementation of your project. There are Federal, State and Institutional requirements for record retention. Check with your organization and your funding agreement to learn more about what record retention requirements apply to your project.

Additional Information: Complete information regarding research involving human subjects is available in the “Washington University Institutional Review Board Policies and Procedures.” Research investigators are expected to comply with these policies and procedures and to be familiar with the Belmont Report, 45CFR46, and other applicable regulations prior to conducting the research. This document and other important information is available on the HRPO website <http://hrpo.wustl.edu/>.

Human Research Protection Office

Washington University

IRB ID #: 201811062**To:** William Hawkins**From:** The Washington University in St. Louis Institutional Review Board,
WUSTL DHHS Federalwide Assurance #FWA00002284**Re:** Clinical Manifestations of Ectopic Pancreatic Rest

Protocol Number:

Protocol Version:

Protocol Date:

Amendment Number/Date(s):

Approval Date: 10/31/19 (Expedited)

This project has been granted a waiver of HIPAA Authorization per section 164.512(i) of the Privacy Rule to allow the research team to use Protected Health Information (PHI) in the context of this research study. This determination is based on the documentation provided by the researcher in the IRB application and the assurance document signed by the Principal Investigator.

This waiver of authorization for research purposes satisfies the following criteria:

- (1) The use or disclosure of the requested information involves no more than a minimal risk to the privacy of individuals based on, at least, the presence of the following elements:
 - (a) An adequate plan to protect the identifiers from improper use and disclosure
 - (b) An adequate plan to destroy the identifiers at the earliest opportunity consistent with conduct of the research, unless there is a health or research justification for retaining the identifiers or such retention is otherwise required by law; and
 - (c) Adequate written assurances that the requested information will not be reused or disclosed to any other person or entity, except as required by law, for authorized oversight of the research study, or for other research for which the use or disclosure of the requested information would be permitted by the Privacy Rule;
- (2) The research could not practicably be conducted without the waiver or alteration; and
- (3) The research could not practicably be conducted without access to and use of the requested information.

This approval has been electronically signed by IRB Chair or Chair Designee:

Erin Higgs, MA

10/31/19 1343